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Attorney Docket No.: PATENT
SONY-26000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Quan Vu et al.

Serial No.: 10/673,020

Filed: September 25, 2003

For: **INFORMATION AND CONTENT
EXCHANGE DOCUMENT TYPE
DEFINITIONS TO SUPPORT
CONTENT DISTRIBUTION**

) Group Art Unit: 2171

) Examiner:

) **TRANSMITTAL LETTER**

) 162 N. Wolfe Road
) Sunnyvale, CA 94086
) (408) 530-9700

) Customer No.: 28960

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

A Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon are enclosed, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: March 12, 2007

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicants

CERTIFICATE OF MAILING (37 C.F.R. 1.97(d))
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 3/12/07 By: TM Freeman



PATENT
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) Examiner:

) **CERTIFICATION FOR**
) **SUPPLEMENTAL INFORMATION**
) **DISCLOSURE STATEMENT UNDER 37**
) **CFR § 1.97(e)**

) 162 North Wolfe Road
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Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

I, the person signing below, hereby certify that the printed publications contained in the enclosed information disclosure statement were cited within an Official Action mailed on February 6, 2007. **Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement.**
37 C.F.R. 1.97(e)(2)

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 3/12/07 By: Mr. Haverstock

Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: March 12, 2002

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicants



PATENT
Attorney Docket No.: SONY-26000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	Group Art Unit: 2171
Quan Vu et al.)	Examiner:
Serial No.: 10/673,020)	<u>SUPPLEMENTAL INFORMATION</u>
Filed: September 25, 2003)	<u>DISCLOSURE STATEMENT</u>
For: INFORMATION AND CONTENT EXCHANGE DOCUMENT TYPE DEFINITIONS TO SUPPORT CONTENT DISTRIBUTION)	162 N. Wolfe Road Sunnyvale, CA 94086 (408) 530-9700
)	Customer No.: 28960

MS: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Publication No. 2002/0013852 A1;
- U.S. Publication No. 2002/0080169 A1;
- U.S. Patent No. 6,615,248 B1; and
- Howard P. Katseff et al. "Predictive Prefetch in the Nemesis Multimedia Information Service", AT&T Bell Laboratories, pgs. 201-209.

CERTIFICATE OF MAILING (37 CFR § 1.8(a))
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

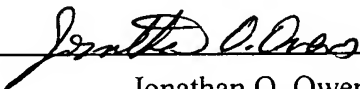
HAVERSTOCK & OWENS LLP.

Date: 3/6/07 By: TM Freeman

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: March 12, 2007

By: 
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicants

FORM PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: SONY-26000		Serial No.: 10/673,020	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary)				Applicant: Quan Vu et al.			
				Filing Date: September 25, 2003		Group Art Unit: 2171	
U.S. PATENT DOCUMENTS							
Examiner Initials	Publ No./ Patent No.	Publication Date/ Issue Date	Applicant / Patentee	Class	Subclass	Filing Date	
AA	2002/0013852 A1	1/31/02	Janik	709	231	4/24/01	
AB	2002/0080169 A1	6/27/02	Diederiks	345	744	7/19/01	
AC	6,615,248 B1	9/2/03	Smith	709	217	8/16/99	
AD							
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AO							
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS							
		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation
							Yes No
	AP						
	AQ						
	AR						
	AS						
	AT						
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)							
	AU	Howard P. Katseff et al. "Predictive Prefetch in the Nemesis Multimedia Information Service", AT&T Bell Laboratories, pgs. 201-209.					
	AV						
	AW						
	AX						
	AY						
	AZ						
	BA						
	BB						
Examiner:				Date Consider			
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							